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A PROTOCOL FOR CONTROLLING ACCESS TO SCRAMBLED DATA IN
SPECIFIC TIME RANGES

5 The invention relates to a protocol for controlling
access to scrambled data in specific time ranges.

The transmission of scrambled information is
currently experiencing unprecedented expansion because of
the manifest explosion in the provision of services based
on the transmission of data conveying information of the
10 most diverse types.

As a general rule, methods of controlling access to
scrambled data transmitted in point-to-multipoint mode,
for example, are based on comparing access criteria
supplied in access control messages or "entitlement
15 control messages" (ECMs), against entitlements or access
rights held by each user and registered in a decoder
supplied to each user or preferably in an access control
module, for example a smart card, supplied to each user.

To be more specific, the information is scrambled at
20 a sending centre using a service key. The service key is
contained in a control word. The control word is
encrypted by means of an operating key, and the
cryptogram of the control word is sent to at least one
descrambling terminal associated with an access control
25 module provided with a security processor.

The scrambled information and the periodic access
control messages, ECM messages, include the cryptogram of
the control word and the access criteria, with the
control word and the cryptogram of the control word being
30 changed periodically. Access to the scrambled
information at each descrambling terminal is conditional
upon a "true" value for the access criteria when compared
with at least one access right registered in the access
control module, and then upon decryption of the
35 cryptogram of the control word using the operating key,
in order to recover the control word and use it
descramble the scrambled information.

For a more detailed description of such access control methods reference can usefully be made to the provisions of UTE standard C90-007, January 1994.

At present, with reference to the texts and
5 provisions of the above-mentioned standard, since there are no provisions governing processing, from the point of view of access control proper, accessing repeat broadcasts of a television program, viewing a recorded scrambled program, and accessing the first broadcast of a
10 program are all equivalent to accessing a first broadcast.

In particular, it is not at present possible to control specifically, through time ranges, the number of viewings, or the number of rewindings in the event of a
15 recording.

Consequently, when access control systems are further provided with an electronic token-holder management system, for managing all aspects of access control in terms of account management, for example, any
20 new viewing or any rewinding, in the case of a recording, results either in systematic debiting of the subscriber's electronic token-holder, in an access mode known as time-based impulsive purchase, or in unlimited access, if access is authorized in all other marketed access modes.

25 An object of the present invention is to remedy the drawbacks and limitations of prior art access control methods.

In particular, a more specific object of the present invention is to provide a protocol for controlling access
30 to scrambled information in specific time ranges of adjustable particular durations.

Another object of the present invention is to provide a protocol for controlling access to scrambled information in specific time ranges, with it being
35 possible for the origin of a specific time range to be defined with reference to a specific action of each user.

Given the adjustable nature of the duration of the

access time range and/or of the origin of said access time range with reference to a specific action of each user, another object of the present invention is to implement a plurality of new services associated with the broadcasting of television programs, such as: a service for previewing a broadcast television program for a particular time; a controlled rewind access service after recording a broadcast television program; a service for counting the number of viewings in the event of looped broadcasting of television programs.

A further object of the present invention is to implement an access control protocol which, through identification of all or part of a program already viewed by a subscriber (to which access has therefore already been granted), distinguishes any period that has already been viewed by the user, and thus optimizes the management of viewings based on a criterion of some particular number of repeat viewings, or a new viewing as the case may be.

In the context of recording television programs, a further object of the present invention is to implement an access control protocol for limiting the number of playbacks, and for limiting the magnitude of the authorized rewind.

The protocol of the invention for controlling access to scrambled information is implemented at a broadcast centre. Scrambling is effected using a service key contained in a control word. The control word is encrypted by means of an operating key, and the access control protocol consists at least in sending the scrambled information and periodic access control messages, ECM messages, to at least one descrambling terminal associated with an access control module provided with a security processor, the ECM messages, containing access criteria and the cryptogram of the control word. The control word and the cryptogram of the control word are changed periodically. Access to the

scrambled information at each descrambling terminal is conditional upon a "true" value for the access criteria when compared with at least one access right registered in the access control module and then upon decrypting the
5 cryptogram of the control word using the operating key, in order to recover the control word and descramble the scrambled information.

The protocol is remarkable in that it further consists in assigning each access control message, ECM
10 message, a number satisfying a monotonic non-decreasing function, consecutive messages ECM_j with successive numbers T_j representing a timebase formed by a plurality of individual time intervals for sending successive individual quanta of scrambled information. The protocol
15 then consists, in each descrambling terminal, in detecting the number of each access control message, message ECM_j , and then, at the request of the user of said descrambling terminal for conditional controlled access to at least a portion of said scrambled information, in
20 selecting an access control number that corresponds to the sending time of said request, and in constituting a time origin of said timebase.

Access by the user to the scrambled information is authorized as a function of a specific access criterion
25 from said origin of the timebase over a time range corresponding to a plurality of individual time intervals defining a plurality of successive individual quanta of scrambled information.

The access control protocol of the present invention
30 is particularly suitable for point-to-multipoint transmission of scrambled information, in particular television programs, and managing pay TV services in general.

The protocol will be better understood on reading
35 the following description and examining the appended drawings, in which:

• Figure 1a is, by way of purely illustrative

example, a general flowchart for implementing the protocol of the present invention;

5 • Figure 1b comprises different timing diagrams illustrative of time ranges constituting a backward interval, a forward interval, and a forward-backward interval, respectively;

 • Figure 1c represents, by way of purely illustrative example, different embodiments of a monotonic non-decreasing function;

10 • Figure 2 is, by way of purely illustrative example, a flowchart of a specific implementation of the protocol of the present invention, more particularly suited for managing services such as a previewing service for a scrambled broadcast TV program, a rewind service,
15 and a service for managing numbers of viewings in the event of looped broadcasting.

20 A more detailed description of the protocol of the present invention for controlling access to scrambled information is given below with reference to Figure 1a and the subsequent figures.

25 Generally speaking, the protocol that is the subject matter of the present invention is implemented firstly at a transmission centre CE and secondly at a plurality of descrambling terminals D_k , each associated with an access control module constituted by a dedicated smart card including a security processor, for example.

30 The information I is scrambled at the transmission centre CE using a service key contained in a control word CW that is encrypted by means of operating key in a manner that is known in the art.

35 The scrambled information I^* is transmitted with periodic access control messages, known as ECM messages. The messages contain access criteria. The cryptogram of the control word CW, and in particular the control word, are changed periodically. Access to the scrambled information at each descrambling terminal D_k is conditional upon the access criteria conveyed by the

access control messages ECM giving a "true" value when compared with at least one access right registered in the access control module associated with each descrambling terminal D_k .

5 The cryptogram of the control word is decrypted at each descrambling terminal, and in particular in the access control module, using the operating key, in order to recover the control word CW and descramble the scrambled information I^* .

10 According to a remarkable aspect of the protocol of the present invention for controlling access to scrambled information, the protocol further consists in, the transmission centre CE in particular, assigning each access control message, ECM message, a number T_j
 15 satisfying a monotonic non-decreasing function, for which reason the access control messages are denoted ECM_j , where j designates the rank of the above-mentioned number.

 According to a particularly remarkable aspect of the protocol of the present invention, the consecutive
 20 control messages ECM_j with successive numbers T_j represent a timebase formed by a plurality of individual time intervals for transmitting successive individual quanta of scrambled information. It will thus be understood that between two successive numbers, for example the
 25 numbers T_{j-1} , T_j , corresponding to at least one time interval δ representative of the sending times of the control messages ECM_j , an individual quantum of scrambled information denoted $\delta I^*_{(j-1)}$ is sent to each descrambling terminal D_k .

30 At each of the above-mentioned descrambling terminals D_k , the protocol of the present invention then consists in a step B of detecting the number T_j of each access control message ECM_j . The operation of detecting the number of each access control message is accompanied
 35 by storing the current number.

 According to another particularly remarkable aspect of the protocol of the present invention, the invention

consists, at the request of the user of the descrambling terminal D_k concerned for conditional access to at least a portion of the scrambled information, in selecting, in a step C, a number for an access control message ECM_j , which
 5 number corresponds to the sending time of the user request UR.

Clearly, since the user sends a user request UR over the descrambling terminal (the request may be sent from a program selector such as a remote controller, for
 10 example, or by any other means), the sending time of the request is identified relative to the current number T_j detected in the preceding step B, and in particular relative to an earlier event, such as a previous access, as is explained below. The earlier event may correspond
 15 to a previous access defining the origin of the timebase whose number is T_{j_0} .

In particular, the number T_{j_0} constituting the origin time of the timebase, and which is obtained in the step A, may advantageously correspond to the number of
 20 the message ECM_{j_0} of the most recent previous non-free controlled access stored in the access control module or the card issued to the user, as is explained below.

In Figure 1a, there is symbolically represented in the step C the series of successive numbers T_{j-1} , T_j , T_{j+1} and a user request UR, the number T_{j_0} for the origin of
 25 the timebase being deemed to be less than (i.e. earlier than) the successive numbers of the sequence T_{j-1} , T_j , T_{j+1} . In any event, it is clear that the number T_{j_0} for a previous access may nevertheless be greater than the
 30 current number T_j identifying the sending of the user request UR by the user.

This is the case when accessing programs broadcast in a loop with the same access control parameters ECM_j or when accessing recorded programs, for example.

35 Step C of selecting the access control message number may then be followed by a step D of authorizing access by the user to the scrambled information as a

function of a specific access criterion, starting from the origin T_{j_0} of the timebase and over a time range corresponding to a plurality of individual time intervals defining a plurality of successive individual quanta of scrambled information.

For this reason, in Figure 1a, $\Delta(T_{j_0}, t_d, t_f)$ represents the access time range assigned to the user, where:

- j_0 represents the rank of the number T_{j_0} defining the origin of the timebase;

- t_d represents an offset of the numbers in the timebase relative to the above-mentioned origin T_{j_0} ; and

- t_f represents another offset of the numbers relative to the above-mentioned origin T_{j_0} .

By way of a non-limiting example, the above-mentioned offsets can correspond to at least one individual time interval δ taken to be equal to the sending time of the access control message ECM_j .

In this case, each successive individual time interval at the time j of receiving the message ECM_j is denoted $\delta(j)$ and the corresponding individual quantum of information is denoted:

$$\delta I^*_{(j)} = \delta_{(j)}(I^*)$$

It can thus be understood that, by means of the user request UR as formulated, the user is authorized to access the information $\Delta I^* = \Delta(T_{j_0}, t_d, t_f)(I^*)$ over a plurality of individual time intervals $\delta_{(j)}$ in the final step E in Figure 1 for successive quanta of information $\delta I^*_{(j)}$ over the time range $\Delta I^* = \Delta(T_{j_0}, t_d, t_f)$.

The purpose of Figure 1b is to illustrate parameters for defining the current access number corresponding to the user request, and previous user access numbers stored in the card in order to constitute the origin T_{j_0} of the corresponding timebase, and for a start time t_d , and an end time t_f relative to the origin T_{j_0} of the timebase; the parameters T_{j_0} , t_d , and t_f therefore define the time range for access authorized in accordance with the

specific access criteria, as mentioned above.

Line 1 in Figure 1b represents the succession of numbers for received messages ECM_j , where j designates the rank of the current number for the corresponding message ECM_j .

In relation to lines 2, 3 and 4 in Figure 1b:

- the origin T_{j_0} of the timebase is the most recent access stored in the user's card, for example the most recent non-free of charge access, to the program of scrambled information I^* concerned;

- td is the offset relative to the origin T_{j_0} corresponding to the start of the time region, or time range, to which access is authorized on the basis of a specific access criterion; and

- tf is the offset relative to the origin T_{j_0} corresponding to the end of the time region, or time range, to which access is authorized in accordance with the specific access criterion.

With reference to lines 2, 3 and 4 in Figure 1b:

- the time range, or interval, is backward for $td \leq 0$ and $tf \leq 0$;

- the interval, or time range, is forward for $td \geq 0$ and $tf \geq 0$;

- the time range, or interval, is a "straddling" time range, i.e. forward and backward, for $td \leq 0$ and $tf \geq 0$.

Specifically, although this is not limiting on the invention, the current number of a message ECM_j is always non-decreasing during the transmission of a broadcast program. However, if the program is broadcast in a loop, or if it corresponds to a program recorded on a recorder and played back, the value T_{j_0} stored in the card assigned to the subscriber may correspond to a previous access and be relative to the time interval, or time range, defined by T_{j_0} , td , and tf , as represented in lines 2, 3, and 4 in Figure 1b. Use of the protocol of the present invention is beneficial in these three situations.

Different implementations of a number T_j satisfying a monotonic non-decreasing function are described below with reference to Figure 1c.

Line 1 of Figure 1c represents a monotonic non-decreasing function in the form of a continuously increasing function of the sending time for control messages ECM_j . For example, each number T_j is constant over the individual time period $\delta_{(j)}$ and satisfies the condition:

$$T_{j-1} \leq T_j \leq T_{j+1}$$

Line 2 in Figure 1c represents a monotonic non-decreasing function in the form of a stepped increasing function of the sending time for control messages ECM_j .

With particular reference to line 2 in this figure, it is clear that each control message ECM_j may be repeated over one or more individual time intervals between the successive numbers T_{j-1} , T_j et seq. This mode of operation defines a timebase with a resolution other than the sending time for control messages ECM_j .

As also represented in the same line 2, each number T_j may be defined by a timestamp. In the example given in Figure 2, the timestamp is a time value expressed in seconds. Each step T_{j-1} , T_j et seq. is then defined by the time range represented by the two different timestamps, for example.

An object of the protocol of the present invention is to manage the number of viewings NV of the same program broadcast and/or recorded by a user, where each viewing may comprise access to the same program one or more times, access at two or more separate times being included the same viewing and, in this situation, since the number of viewings is unchanged, no additional amount is billed to the user in this kind of situation.

The change, however, from one access to another by the user in the same program under conditions other than the specific access above-mentioned criterion counts as two different viewings, one "viewing" and one "other

viewing", the other viewing leading to incrementing the number of viewings and to an additional amount being billed to the user, as described below.

Referring to Figure 2, managing the number of
5 viewings NV of programs at the request of the user and according to the specific access criterion in the above-defined time range and outside that time range, the access criterion may, as represented in Figure 2, consist in a step E₀ of defining a maximum authorized number of
10 viewings NVM of the scrambled broadcast program containing the scrambled information I*. The protocol of the invention may further define a first Boolean variable AV whose "true" value represents authorization for forward access to the scrambled information I* beyond the
15 origin and outside the above-defined time range without incrementing the number of viewings, with such access to the information beyond the origin and outside the time range being authorized on the basis of an access criterion separate from the specific access criterion
20 defining access in the above-mentioned time range.

The protocol may also define a second Boolean variable AR whose "true" value is representative of authorization for backward access to the scrambled information before the origin and outside the time range
25 on the basis of an access criterion different from the above-mentioned specific access criterion and without incrementing the number of viewings.

In a preferred embodiment of the protocol of the present invention, the access criterion specific to the
30 above-defined access time range or region, in particular as defined by the offset parameters t_d and t_f relative the origin T_{j_0} of the timebase, may advantageously allow the user free access, i.e. unbilled access, in that range.

35 By way of purely illustrative example, it is specified that the Boolean variables AV and AR referred to above have the value 1 for the "true" value and the

value 0 for the "false" value.

In the step E_0 in Figure 2, on the user sending the user request UR defined by the rank j of the number T_j of the corresponding access control message ECM_j , there are
5 available:

- variables NV, T_{j_0} if a previous access has been made to the same scrambled data program, T_{j_0} representing the stored value serving as the origin for the next access resulting from the request UR, and NV designating
10 the number of viewings already effected;

- the authorized maximum number of viewings NVM;
- the Boolean variables AV and AR; and
- the time range $\Delta(T_{j_0}, t_d, t_f)$.

Finally, to implement the protocol of the present
15 invention, it may be advantageous to initialize the number of viewings NV to zero if the user has made no accesses and thus has not viewed any corresponding scrambled data program.

In this case, and as represented in Figure 2, the
20 protocol of the invention may test for the existence of the variable NV in the step E_1 . This test is denoted:

$E(NV)?$

In the event of a negative result of the test E_1 , i.e. if there is a variable NV equal to 0 for the
25 scrambled information I^* concerned, then a step E_2 is executed which tests whether the number of viewings NV is less than the maximum number of viewings NVM.

It is clear, of course, that in this starting situation the result of the test E_2 is generally always
30 positive, since the number of viewings NV is equal to 0 in this situation.

In the event of a positive result of the test E_2 , a step E_4 is executed which increments the value of the number of viewings by 1, in accordance with the following
35 equation:

$$NV = NV + 1$$

Clearly, in this case, the access to the scrambled

information program I^* is the first access.

In this case, the step E_4 may then be followed by a step E_5 which, for the first viewing, updates the origin of the timebase, i.e. the value T_{j0} , to the value T_j which is none other than the reception number for the user request UR, i.e. the reception number for the corresponding message ECM_j .

The step E_5 of updating the origin of the timebase may then be followed by access to the individual quantum of information $\delta I^*_{(j)}$ in a step E_6 . Clearly, in this case, the first access corresponds to a first viewing and the access criterion applied is an access criterion different from the specific access criterion corresponding to free access.

But, in the event of a positive result of the above-mentioned test E_1 , because the value of NV is not equal to 0, there are at least one earlier access and at least one earlier viewing.

In this case, the step E_1 is followed by a step E_7 of testing whether the number of viewings NV is less than or equal to the authorized maximum number of viewings NVM.

In the event of a negative result from the test E_7 , access to the scrambled information is refused in the step E_8 because the user has clearly exceeded the viewing quota NVM.

However, if the result of the test E_7 is positive, the protocol of the invention then tests, in step E_8 , whether the current number T_j lies within the time range.

The step E_8 test of whether the current number T_j is in the time range, satisfies the condition:

$$(T_{j0} + td) \leq T_j \leq (T_{j0} + tf)$$

In the event of a positive result from test E_8 , access to the individual quantum of scrambled information $\delta I^*_{(j)}$ is authorized, in the above-described step E_6 , on the basis of the specific access criterion and during the scrambled information time range.

It is clear, of course, that access during the time range consists in particular in authorizing successive access to each quantum of information covering the time range, as mentioned above.

5 It is equally clear that, if the specific access criterion corresponds to a free access criterion, i.e. when there is nothing to be billed to the user, access is effected directly, in step E_6 , without incrementing the number of viewings NV.

10 However, if the result of the test E_8 is negative, access to the scrambled information is authorized on the basis of an access criterion different from the specific access criterion, and is conditional upon the above-mentioned Boolean variables having the "true" value.

15 Clearly, given the values of the above-mentioned Boolean variables, it is possible to determine whether any new access, upstream or downstream of the above-mentioned origin, contributes or does not contribute to a new viewing.

20 Accordingly, if the current number T_j does not belong to the above-mentioned time range, i.e. in the event of a negative result from test E_8 , authorization of access on the basis of an access criterion different from the specific access criterion and conditional on a "true" value of a Boolean variables may consist, in a step E_9 , and
25 as represented in Figure 2, in submitting the current number T_j representative of the sending time of the user request UR and the first Boolean variable AV to a first logic test to verify whether the current number T_j is
30 greater than or equal to the number T_{j_0} for the origin and to verify that the first Boolean variable AV has the "true" value for authorizing forward access to the scrambled information.

35 The test E_9 also submits the current number T_j and the second Boolean variable AR to a second logic test to verify whether the above-mentioned current number T_j is less than or equal to the number T_{j_0} for the origin and to

verify whether the second Boolean variable AR has the "true" value for authorizing backward access to the scrambled information.

In the test E_9 in Figure 2, the first and second logic tests satisfy the condition:

$$(T_j \geq T_{j0} \text{ AND } AV = 1) \text{ OR } (T_j \leq T_{j0} \text{ AND } AR = 1)$$

In the event of a positive result from test E_9 , i.e. in the event of a positive result of either of the above-mentioned first and second logic tests, then forward access, or backward access as the case may be, is authorized without incrementing the number of viewings of the scrambled information.

Clearly, for any user request UR corresponding to a reception number T_j outside the time range defined in the step E_8 and greater than the number T_{j0} at the origin, the "true" value of the Boolean variable AV, indicating a forward request, i.e. continued viewing, indicates that the user wishes to resume the earlier viewing. This may be effected by the user to the detriment of the non-viewing of all the quanta of scrambled information from T_{j0} to T_j .

The same applies to the second logical test, where the current number T_j is this time lower than the origin number T_{j0} . This may be the case, for example, either on returning to a program broadcast in a loop or on rewinding a recording on a recorder. In the same way, in this kind of situation, the user wishes to view an earlier episode which may or may not have been accessed previously.

Authorization of forward access (or backward access as the case may be) without incrementing the number of viewings, following a positive result from test E_9 , entails executing the step of updating the origin number T_{j0} , which is updated to the value T_j , in step E_5 . Step E_5 is then followed by step E_6 which accesses the individual quantum of scrambled information $\delta I_{(j)}^*$.

Otherwise if the result from test E_9 is negative,

since neither the first nor the second logical test is satisfied, the protocol of the invention tests in step E_2 whether the number of viewings NV is less than the authorized maximum number of viewings NVM .

5 In the event of a negative result of above-mentioned test E_2 , access to the individual quantum of scrambled information $\delta I^*_{(j)}$ is refused in step E_3 , the user having exhausted the quota of viewings for the program concerned. Otherwise, in the event of a positive result
10 from test E_2 , the number of viewings NV is incremented by 1, in above-mentioned step E_4 , said step E_4 being followed by authorization of forward access (or backward access as the case may be), to the scrambled information via above-mentioned updating step E_5 .

15 It is therefore clear that, because of the incrementation in the step E_4 , i.e. the user has chosen an access constituting a new viewing, the new viewing will be billed as such, the new access constituting a new viewing.

20 An embodiment of the protocol of the present invention for a service corresponding to a single rewinding of a recording on a device such as a recorder is described below with reference to Figure 2.

25 By way of non-limiting example, in this situation, the maximum number of viewings NVM may be taken as equal to 1, for example, and the time interval or the time range for which access is authorized in accordance with the specific access criterion, and in particular in accordance with free access, is defined by the following
30 parameters:

- $td < 0$
- $tf = 0$.

35 In this kind of application to the above-mentioned service, the Boolean variables are respectively forced as follows:

- $AV = 1$
- $AR = 0$.

Clearly, in this case, the user is assigned a maximum viewing time by rewinding, as defined above. Outside this interval, only forward viewing from the position T_{j_0} is authorized, because of the "true" or
 5 "false" values of the above-mentioned Boolean variables.

A second embodiment of the protocol of the present invention is described below with reference to the same Figure 2 in an application to a preview service.

The preview service in fact corresponds to free
 10 forward access authorizations relative to the origin of the timebase.

In a situation of this kind, the maximum number of viewings may be taken as equal to 1, for example: $NVM = 1$. This example is not limiting on the invention.

15 The access time area according to the specific access criterion, such as the above-mentioned free access criterion, is then defined by:

$$td = 0$$

$$tf > 0.$$

20 In this case, for the preview service, the Boolean variables for the recorder forward and reverse control functions may be taken as equal to $AV = 0$ and $AR = 0$, respectively. In this case, in the context of the preview service, the user is authorized to view only in
 25 the above-mentioned time interval or time range a number of successive quanta of scrambled information determined by the magnitude $|tf - td|$ determined in a specific manner. It is recalled that the magnitude of the above-mentioned time range may correspond to three minutes of
 30 viewing, for example.

A third embodiment of the protocol of the present invention is described below with reference to the same Figure 2, in an application to controlling the number of viewings during the broadcasting of a program in a loop,
 35 for example.

In this application, the maximum number of viewings NVM for the scrambled information program concerned may

be defined arbitrarily.

By way of non-limiting example, the magnitude of the time region for which access is authorized in accordance with the specific access criterion, i.e. free access, may
5 be arbitrarily set at 0: $td = 0$ AND $tf = 0$.

In this case, it is clear that the user is authorized to consult any scrambled information program broadcast in looped mode in accordance with an access criterion different from the specific access criterion
10 and corresponding to at least one of the access rights registered in the user's card being satisfied.

In this situation, only basic forward access is authorized, i.e. access to successive quanta of scrambled information, the Boolean variables taking the values:

- 15 • $AV = 1$
 • $AR = 0$.